| 1 2 3 4 5 | EDMUND G. BROWN JR., Attorney General of the State of California ARTHUR D. TAGGART Supervising Deputy Attorney General JEFFREY M. PHILLIPS, State Bar No. 154990 Deputy Attorney General California Department of Justice 1300 I Street, Suite 125 P.O. Box 944255 | | | | | |
|-----------------------|--|--------------------------------|--|--|--|--|
| 6 7 | Sacramento, CA 94244-2550 Telephone: (916) 445-0767 Facsimile: (916) 324-5567 Attorneys for Complainant | | | | | |
| 8 | The may be complained. | • | | | | |
| 9 | BEFORE THE BOARD OF REGISTERED NURSING | | | | | |
| 10 | | | | | | |
| 11 | | | | | | |
| 12 | In the Matter of the Accusation Against: | Case No. 2008-207 | | | | |
| 13 | KATHRYN HENRIETTA LUMBERT 4437 Larkin Mine Rd. | OAH No. | | | | |
| 14 | Diamond Springs, California 95619 | DEFAULT DECISION AND ORDER | | | | |
| 15 | Registered Nurse License No. 476468 | | | | | |
| 16 | Respondent. | [Gov. Code, §11520] | | | | |
| 17 | | | | | | |
| 18 | FINDINGS OF FA | <u>ACT</u> | | | | |
| 19 | 1. On or about January 10, 2008, Complainant Ruth Ann Terry, M.P.H., | | | | | |
| 20 | R.N., in her official capacity as the Executive Officer of the Board of Registered Nursing, | | | | | |
| 21 | Department of Consumer Affairs, filed Accusation No. 2008-207 against Kathryn Henrietta | | | | | |
| 22 | Lumbert ("Respondent") before the Board of Registered Nursing ("Board"). | | | | | |
| 23 | 2. On or about March 31, 1992, the Board issued Registered Nurse License | | | | | |
| 24 | Number 476468 to Respondent. The license expired on February 29, 2008, and has not been | | | | | |
| 25 | renewed. | | | | | |
| 26 | 3. On or about January 17, 2008, Do | nna Parker, an employee of the | | | | |
| 27 | Department of Justice, served by Certified and First Class Mail a copy of the Accusation | | | | | |
| 28 | No. 2008-207, Statement to Respondent, Notice of Defense, Request for Discovery, and | | | | | |

Government Code sections 11507.5, 11507.6, and 11507.7 to Respondent's address of record with the Board, which was and is 4437 Larkin Mine Road, Diamond Springs, California 95619. A copy of the Accusation, the related documents, and Declaration of Service are attached as **Exhibit A**, and are incorporated herein by reference.

- 4. Service of the Accusation was effective as a matter of law under the provisions of Government Code section 11505(c).
 - 5. Government Code section 11506 states, in pertinent part:
- "(c) The respondent shall be entitled to a hearing on the merits if the respondent files a notice of defense, and the notice shall be deemed a specific denial of all parts of the accusation not expressly admitted. Failure to file a notice of defense shall constitute a waiver of respondent's right to a hearing, but the agency in its discretion may nevertheless grant a hearing."
- 6. Respondent failed to file a Notice of Defense within 15 days after service upon her of the Accusation, and therefore waived her right to a hearing on the merits of Accusation No. 2008-207.
 - 7. California Government Code section 11520 states, in pertinent part:
- "(a) If the respondent either fails to file a notice of defense or to appear at the hearing, the agency may take action based upon the respondent's express admissions or upon other evidence and affidavits may be used as evidence without any notice to respondent."
- 8. Pursuant to its authority under Government Code section 11520, the Board finds Respondent is in default. The Board will take action without further hearing and, based on Respondent's express admissions by way of default and the evidence before it, contained in Exhibit A, finds that the allegations in Accusation No. 2008-207 are true.

DETERMINATION OF ISSUES

- Based on the foregoing findings of fact, Respondent has subjected her
 Registered Nurse License Number 476468 to discipline.
- 2. A copy of the Accusation and the related documents and Declaration of Service are attached.
 - 3. The agency has jurisdiction to adjudicate this case by default.

Exhibit A

Accusation No. 2008-207, Related Documents and Declaration of Service

| 1 | EDMUND G. BROWN JR., Attorney General | | | | |
|-----|--|--|--|--|--|
| • | of the State of California | | | | |
| 2 | ARTHUR D. TAGGART Supervising Deputy Attorney General | | | | |
| 3 | | | | | |
| | Deputy Attorney General | | | | |
| 4 | California Department of Justice | | | | |
| 5 | 1300 I Street, Suite 125 P.O. Box 944255 | | | | |
| , | Sacramento, CA 94244-2550 | | | | |
| 6 | Telephone: (916) 445-0767 | | | | |
| 7 | Facsimile: (916) 324-5567 | | | | |
| ′ | Attorneys for Complainant | | | | |
| 8 | Throwneys for complimination | | | | |
| ^ | | | | | |
| 9 | BEFORE THE | | | | |
| 10 | | | | | |
| | DEPARTMENT OF CONSUMER AFFAIRS | | | | |
| 11 | STATE OF CALIFORNIA | | | | |
| 12 | | | | | |
| | | | | | |
| 13. | In the Matter of the Accusation Against: Case No. 2008-207 | | | | |
| 14 | KATHRYN HENRIETTA LUMBERT | | | | |
| | 4437 Larkin Mine RD ACCUSATION | | | | |
| 15 | Diamond Springs, CA 95619 | | | | |
| 16 | | | | | |
| | Registered Nurse License No. 476468 | | | | |
| 17 | | | | | |
| 18 | Respondent. | | | | |
| | | | | | |
| 19 | Duth And Tome: M.D.H. D.N. ("Commissions") allocas | | | | |
| 20 | Ruth Ann Terry, M.P.H., R.N. ("Complainant") alleges: | | | | |
| | <u>PARTIES</u> | | | | |
| 21 | 1. Complainant brings this Accusation solely in her official capacity as the | | | | |
| 22 | 1. Complainant brings this Accusation solely in her official capacity as the | | | | |
| _ | Executive Officer of the Board of Registered Nursing ("Board"), Department of Consumer | | | | |
| 23 | | | | | |
| 24 | Affairs. | | | | |
| J | 2. On or about March 31, 1992, the Board issued Registered Nurse License | | | | |
| 25 | | | | | |
| 26 | Number 476468 to Kathryn Henrietta Lumbert ("Respondent"). The license will expire on | | | | |
| | February 29, 2008, unless renewed. | | | | |
| 27 | · · · · · · · · · · · · · · · · · · · | | | | |
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JURISDICTION

- 3. Business and Professions Code ("Code") section 2750 provides, in pertinent part, that the Board may discipline any licensee, including a licensee holding a temporary or an inactive license, for any reason provided in Article 3 (commencing with section 2750) of the Nursing Practice Act.
- 4. Code section 2764 provides, in pertinent part, that the expiration of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary proceeding against the licensee or to render a decision imposing discipline on the license. Under Code section 2811(b), the Board may renew an expired license at any time within eight years after the expiration.

STATUTORY PROVISIONS

- 5. Code section 2761(a) states, in pertinent part, that the Board may take disciplinary action against a licensed nurse for unprofessional conduct.
 - 6. Code section 2762 states:

In addition to other acts constituting unprofessional conduct within the meaning of this chapter [the Nursing Practice Act], it is unprofessional conduct for a person licensed under this chapter to do any of the following:

(e) Falsify, or make grossly incorrect, grossly inconsistent, or unintelligible entries in any hospital, patient, or other record pertaining to the substances described in subdivision (a) of this section.

COST RECOVERY

7. Code section 125.3 provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

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Patient "C":

d. On or about July 18, 2003, at 2043 hours, Respondent signed out a 100 mg. vial of Demerol, but failed to chart the administration of the medication or otherwise account for the disposition of the medication on any patient or hospital record. Furthermore, the signing out of the medication for administration was inconsistent with physician's orders, in that the physician had not prescribed Demerol for Patient C.

Patient "D":

e. On or about August 6, 2003, at 2223 hours, Respondent signed out a 75 mg. vial of Demerol for administration, charted the administration of the medication on the patient's MAR, but failed to document the assessment of the patient on the flowsheet.

Furthermore, the signing out of the medication for administration was inconsistent with physician's orders, because the prescription was discontinued on August 6, 2003, at 0800 hours. In addition, the patient was not under Respondent's care at that time.

SECOND CAUSE FOR DISCIPLINE

(Unprofessional Conduct)

- 10. Respondent is subject to discipline under Code section 2761(a) in that while employed as a registered nurse at Fremont Medical Center, Yuba City, California, Respondent engaged in unprofessional conduct in the following respects:
- a. Respondent failed to accurately document the disposition of controlled substances that she removed from the PYXIS (an automated medication-dispensing station).
 - b. Respondent failed to have a witness when wasting controlled substances.
- c. Respondent failed to adhere to hospital policies, procedures, and professional standards of nursing practice, to ensure safety and accountability when dispensing, administering, documenting, and handling controlled substances.

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1 **DISCIPLINE CONSIDERATIONS** 2 11. To determine the degree of discipline, if any, to be imposed on 3 Respondent, Complainant alleges that on or about May 5, 2003, in a prior disciplinary action entitled, In the Matter of the Accusation Against Kathryn Henrietta Lumbert, before the Board of 4 Registered Nursing, case number 2003-244, Respondent entered into a Stipulated Settlement 5 Agreement for Public Reprimand. The Decision and Order was adopted by the Board and 6 7 became effective on January 10, 2004. 8 **PRAYER** 9 WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Registered Nursing issue a decision: 10 11 1. Revoking or suspending Registered Nurse License Number 476468. issued to Kathryn Henrietta Lumbert; 12 13 2. Ordering Kathryn Henrietta Lumbert to pay the Board of Registered 14 Nursing the reasonable costs of the investigation and enforcement of this case, pursuant to Code 15 section 125.3; and, 16 3. Taking such order and further action as deemed necessary and proper. DATED: 1/10/08 17 18 19 **Executive Officer** Board of Registered Nursing 20 Department of Consumer Affairs State of California 21 Complainant 22 23 24 Accusation (kdg) 10/15/07 25 SA2006102595

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DECISION AND ORDER OF THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

The foregoing Stipulated Settlement for Public Reprimand, in Accusation Case No. 2003-244, is hereby adopted as the Decision and Order of the Board of Registered Nursing, Department of Consumer Affairs. A letter of public reprimand shall issue from the Executive Officer of the Board of Registered Nursing.

An effective date of January 10, 2004, has been assigned to this Decision and Order.

Made this 11th day of December 2003

FOR THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS

Ex. A: Accusation .

Ex. B: Letter of Public Reprimand

| | 4 | | | | |
|----|--|--|--|--|--|
| 1 | BILL LOCKYER, Attorney General | | | | |
| 2 | MICHIES : WEHTEROEK, SEE TO. COOTE | | | | |
| 3 | Cantottia Department of Justice | | | | |
| 4 | 1300 I Street, Suite 125 P.O. Box 944255 | | | | |
| 5 | Sacramento, CA 94244-2550 Telephone: (916) 445-2271 | | | | |
| 6 | Facsimile: (916) 327-8643 | | | | |
| 7 | Attorneys for Complainant | | | | |
| 8 | DOMED OF REGISTERED HOLDING | | | | |
| 9 | DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA | | | | |
| 10 | | | | | |
| 11 | In the Matter of the Accusation Against: | Case No. 2003-244 | | | |
| 12 | KATHRYN HENRIETTA LUMBERT 1980 Pennington Road | OAH No. N 2003 060334 | | | |
| 13 | Live Oak, California 95953 | | | | |
| 14 | Registered Nurse License No. 476468 | STIPULATED SETTLEMENT FOR PUBLIC REPRIMAND | | | |
| 15 | Respondent. | | | | |
| 16 | | | | | |
| 17 | | | | | |
| 18 | | AGREED by and between the parties to the | | | |
| 19 | above-entitled matter: | | | | |
| 20 | 1. Complainant Ruth Ann Terry, M.P.H., R.N., is the Executive Officer of | | | | |
| 21 | the Board of Registered Nursing, Department of Consumer Affairs, State of California | | | | |
| 22 | (hereinafter referred to as the "Board") and, at the time of executing and filing of the Accusation, | | | | |
| 23 | performed said acts solely in her official capacity. | | | | |
| 24 | 2. Complainant is represented herein by Bill Lockyer, Attorney General of | | | | |
| 25 | the State of California, by and through Michael J. Weinberger, Deputy Attorney General. | | | | |
| 26 | 3. Kathryn Henrietta Lumbert, R.N. (Respondent) is representing herself in | | | | |
| 27 | this proceeding and has chosen not to exercise her right to be represented by counsel. | | | | |
| 28 | 4. At all times relevant herein, respondent was licensed by the Board of | | | | |

 Registered Nursing, State of California under Registered Nurse License No.476468, which license is current and will expire on February 28, 2004, unless renewed.

- 5. Accusation No. 2003-244 was filed on or about May 5, 2003, before the Board of Registered Nursing and is currently pending against respondent. The Accusation, together with all other statutorily required documents, was duly served on the respondent and respondent timely filed a Notice of Defense. A copy of Accusation No. 2003-244 is attached hereto as Exhibit "A" and incorporated herein by reference.
- 6. Respondent has had the opportunity to and has in fact reviewed the nature of the charges alleged in the Accusation and the terms and conditions set forth herein.
- 7. Respondent understands the nature of the charges alleged in the Accusation and that said charges and allegations, if proved, would constitute cause for imposing discipline upon respondent's registered nursing license heretofore issued by the Board.
- 8. Respondent is fully aware of each of her legal rights and that, but for this stipulation, she would be entitled: 1) to a hearing on the charges and allegations in the Accusation; 2) to be represented by counsel, at her own expense, in all proceedings in this matter; 3) to confront and cross-examine the witnesses testifying against her; 4) to present evidence and call witnesses on her own behalf, or to herself testify, and to the issuance of subpoenas to compel the attendance of witnesses and the production of documents. Respondent is aware of her right to contest the charges and allegations and any other rights which may be accorded to her pursuant to the California Administrative Procedure Act (Government Code § 11500 et seq.), as well as her right to petition for reconsideration or to appeal to the Superior Court or any other court of review.
- 9. With these rights in mind, Respondent hereby freely, voluntarily, and knowingly waives and gives up each and every right set forth above including, but not limited to, the right to a hearing on the charges and allegations contained in Accusation No. 2003-244 and the right to reconsideration and judicial review. Respondent understands that, in signing this stipulation rather than contesting the Accusation, she is enabling the Board to impose disciplinary action upon her license without further process.

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- 10. All admissions of facts and conclusions of law contained in this stipulation are made exclusively for this proceeding and any future proceedings between the Board and respondent, or between respondent and any other licensing agency in the State of California, and shall not be deemed to be admissions for any purpose in any other administrative, civil or criminal action, forum or proceeding.
- 11. Respondent's license history and status as set forth at paragraph 4 of the Accusation are true and correct. Respondent's address of record is current and accurate as set forth in the caption of this Stipulation.
- before the Board only, and not for any other purpose in any other civil or criminal matter, except in proceedings before the Board of Registered Nursing or any other professional licensing agency in the State of California, respondent stipulates that the Board has jurisdiction to impose a public reprimand upon her license to practice registered nursing pursuant to section 495 of the Business and Professions Code.
- 13. Based on all of the recitals and stipulations herein, it is stipulated and agreed that Registered Nurse License No. 476468 heretofore issued to respondent shall, by way of letter from the Executive Officer, be publicly reprimanded. Said letter of public reprimand will issue as set forth herein above and shall be in the same form as the letter attached as Exhibit "B" hereto.
- 14. The parties agree that facsimile copies of this Stipulated Letter of Public Reprimand of License and Order, including facsimile signatures thereto, shall have the same force and effect as original Stipulated Settlement and Disciplinary Order and signatures.
- 15. The within Agreement shall be subject to the approval of the Board or its Designee. After respondent has signed this stipulation, she shall not be permitted to withdraw from the stipulation unless and until it has been rejected by the Board. If the Board or its Designee fails to approve this stipulation, it shall be of no force or effect for either party.

ACCEPTANCE 1 2 I have carefully read the above Stipulated Settlement. I understand the effect this 3 stipulation will have on my license to practice registered nursing, License No. 476468, and agree 4 to be bound thereby. I enter into this Stipulated Settlement knowingly, voluntarily, freely and 5 intelligently. Dated: 8-27 ,20*03* 6 7 8 9 Respondent 10 /// 11 /// 12 /// 13 /// 14 **ENDORSEMENT** 15 The foregoing Stipulated Settlement is hereby respectfully submitted for 16 consideration of the Board of Registered Nursing, Department of Consumer Affairs. 17 18 19 BILL LOCKYER, Attorney General of the State of California 20 21 22 Michael J. Weinberger Deputy Attorney General 23 Attorneys for Complainant 24

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Exhibit A
Accusation No. 2002-244

| 1 | BILL LOCKYER, Attorney General | | | |
|----|---|---------------|---------------------------|--|
| 2 | · · · · · · · · · · · · · · · · · · · | | | |
| 3 | | | | |
| 4 | 1300 I Street, Suite 125 P.O. Box 944255 Sacramento, CA 94244-2550 | | | |
| 5 | | | | |
| 6 | | | | |
| 7 | Anomeys for Complainant | | | |
| 8 | BEFORE THE BOARD OF REGISTERED NURSING | | | |
| 9 | DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA | | | |
| 10 | | | | |
| 11 | In the Matter of the Accusation Against: | Case No. | 2003–244 | |
| 12 | KATHRYN HENRIETTA LUMBERT 1980 Pennington Road | ACCUSA | ATION | |
| 13 | Live Oak, California 95953 | | | |
| 14 | Registered Nurse License No. 476468 | | | |
| 15 | Respondent. | | | |
| 16 | | • | | |
| 17 | Complainant alleges: | | | |
| 18 | <u>PARTIE</u> | <u>s</u> | | |
| 19 | 1. Ruth Ann Terry, M.P.H., R.N. | ("Complainant | ") brings this Accusation | |
| 20 | solely in her official capacity as the Executive Officer of the Board of Registered Nursing, | | | |
| 21 | Department of Consumer Affairs. | | | |
| 22 | 2. On or about March 31, 1992, the Board of Registered Nursing ("Board") | | | |
| 23 | issued Registered Nurse License Number 476468 to Kathryn Henrietta Lumbert ("Respondent"). | | | |
| 24 | The license was in full force and effect at all times relevant to the charges brought herein and will | | | |
| 25 | expire on February 28, 2004, unless renewed. | | | |
| 26 | STATUTORY PROVISIONS | | | |
| 27 | 3. Section 2750 of the Business and Professions Code ("Code") provides, in | | | |
| 28 | pertinent part, that the Board may discipline any licensee, including a licensee holding a | | | |

PRAYER WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Registered Nursing issue a decision: Revoking or suspending Registered Nurse License Number 476468, issued 1. to Kathryn Henrietta Lumbert; Ordering Kathryn Henrietta Lumbert to pay the Board of Registered 2. Nursing the reasonable costs of the investigation and enforcement of this case, pursuant to Business and Professions Code section 125.3; 3. Taking such other and further action as deemed necessary and proper. **Executive Officer** Board of Registered Nursing Department of Consumer Affairs State of California Complainant \$A2002100652 Accusation (kdg) 4/28/03

Exhibit B LETTER OF PUBLIC REPRIMAND

State of California DEPARTMENT OF JUSTICE



455 GOLDEN GATE AVENUE, SUITE 11000 SAN FRANCISCO, CALIFORNIA 94102

Public: [(000) 000-0000]

Telephone: [(000) 000-0000] Facsimile: [(000) 000-0000]

KATHRYN HENRIETTA LUMBERT 1980 Pennington Road Live Oak, California 95953

RE:

Public Reprimand, In the Matter of the Accusation Against KATHRYN HENRIETTA LUMBERT, Case No. 2003-244

Kathryn Henrietta Lumbert:

On May 5, 2003, the Board of Registered Nursing, Department of Consumer Affairs, State of California, filed an Accusation against your license to practice registered nursing alleging unprofessional conduct under Business and Professions Code section 2761(a) regarding your conduct on or about January 27, 2000, wherein you are alleged to have committed an act of unprofessional conduct by failing to return from your lunch break without taking adequate steps to ensure coverage for the care of your pateints.

Taking into consideration the fact that you have been licensed by the Board since March 31, 1992 without any prior disciplinary action, and that there are other mitigating circumstances in this case which support the determination that you are safe to practice registered nursing, the Board has decided that the charges warrant a public reprimand.

Accordingly, pursuant to the authority provided under section 495 of the Business and Profession Code, and in resolution of this matter, the Board of Registered Nursing hereby issues this letter of public reprimand.

Sincerely,

R. ta Am Teny M.P. H. R.A. RUTH ANN TERRY, R.N., M.P.H.

Executive Officer Board of Registered Nursing

Department of Consumer Affairs

State of California